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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q93964

Yoshihide KAWAGUCHI, et al.

Appln. No.: 10/574,478

Group Art Unit: Not yet assigned

Confirmation No.: Not yet assigned

Examiner: Not yet assigned

Filed: April 3, 2006

For:

PHOTOCHEMICALLY

REFRACTIVE-INDEX-CHANGING

POLYMER,

PHOTOCHEMICALLY REFRACTIVE-INDEX-CHANGING POLYMER, AND

METHOD OF REFRACTIVE INDEX REGULATION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/574,478

The present Information Disclosure Statement is being filed: (1) No later than three

months from the application's filing date; (2) Before the mailing date of the first Office Action

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the

communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is

attached.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 32,197

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: November 29, 2006

2



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STATEMENT UNDER 37 C.F.R. § 1.704(d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICATION

(use as many sheets as necessary)

Sheet	1	of	1

Complete if Known				
Application Number	10/574,478			
Confirmation Number	Not yet assigned			
Filing Date	April 3, 2006			
First Named Inventor	Yoshihide KAWAGUCHI			
Art Unit	Not yet assigned			
Examiner Name	Not yet assigned			
Attorney Docket Number	O93964			

U.S. PATENT DOCUMENTS					
Examiner Cite Number Document Number Publication Date MM-DD-YYYY MM-DD-YYYY Publication Date MM-DD-YYYY Publicat	Cita			Bublication Data	
	Name of Patentee or Applicant of Cited Document				
		US 2002/0095010	Al	07/18/2002	Cai et al.
		US 4,293,674		10/06/1981	Andrews
		US			
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		US			

FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		GB	836,046	Α	06/01/1960		English
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		Zhihua Lu, S. Y. Lee et al., "Group transfer copolymerisation of vinyl methacrylate and methyl	
ł		methacrylate" (1997), POLYMER, Vol. 38, No. 23, pp. 5893-5895	
		Supplementary European Search Report dated November 3, 2006	
			<u> </u>

n 1 01 .	المراجع	
Examiner Signature	Date Considered	
	Date constant	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.